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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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HEWLETT PACKARD COMPANY
P O BOX 272400, 3404 E. HARMONY ROAD
INTELLECTUAL PROPERTY ADMINISTRATION
FORT COLLINS, CO 80527-2400

EXAMINER

DAO, THUY CHAN

ART UNIT	PAPER NUMBER
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2192

NOTIFICATION DATE	DELIVERY MODE
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11/21/2008

ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

JERRY.SHORMA@HP.COM
mkraft@hp.com
ipa.mail@hp.com

Office Action Summary	Application No. 10/630,913	Applicant(s) SRINIVASAMURTHY ET AL.	
	Examiner Thuy Dao	Art Unit 2192	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 06 August 2008.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1 and 21-39 is/are pending in the application.
- 4a) Of the above claim(s) 2-20 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1 and 21-39 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 07/31/03 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. This action is responsive to the amendment filed on August 6, 2008.
2. Claims 1 and 21-39 have been examined.

Response to Amendments

3. In the instant amendment, claim1 has been amended; claims 2-20 have been canceled; and claims 21-39 have been added.

Claim Rejections – 35 USC §102

4. Claims 1 and 32 are objected to because of minor informalities:

Claim 1, line 15, the phrase is considered to read as - -...to efficiently decode the [[decode]] frequently executed bytecodes- -.

Claim 32, line 6, the phrase is considered to read as - -with [[an]] a semantically enriched opcode- -.

Appropriate correction is requested.

Response to Arguments

5. Applicants' arguments have been considered but are moot in view of the new ground(s) of rejection.

Claim Rejections – 35 USC §103

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 1 and 21-39 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent No. 6,988,261 to Sokolov et al. (art made of record, hereafter "Sokolov") in view of Egashira (art of record, US Patent No. 6,014,519).

Claim 1:

Sokolov discloses *a method of optimizing the performance of an interpreter based runtime system, the runtime system including a virtual machine, the virtual machine adapted to run an application in the context of the runtime environment, the method comprising:*

augmenting a bytecode set of the virtual machine with semantically enriched opcodes (e.g., FIG. 2B, col.6: 55 – col.6: 26),

thereby constituting an application domain-specific virtual machine; optimizing the virtual machine based on semantics of the application to be run on the virtual machine (e.g., FIG. 5, col.7: 37-67; FIG. 2B-C, col.8: 62 – col.9: 17);

performing a quantitative comparison between execution time and memory space (e.g., col.2: 64 – col.3: 9; col.5: 66 – col.6: 9; col.8: 1-11)

to determine effective semantically enriched opcodes and encoding the semantically enriched opcodes into interpreter action codes based upon the comparison (e.g., col.3: 14—col.4: 15; col.5: 28 – col.6: 38; col.11: 9-32);

analyzing frequently executed bytecodes (e.g., FIG. 4, col.6: 66 – col.7: 19) and

encoding the semantically enriched opcodes into interpreter action codes of the instruction set of the virtual machine to efficiently decode the frequently executed bytecodes (e.g., FIG. 9B, col.9: 50 – col.10: 11);

optimizing the translation by the interpreter action codes of the semantically enriched opcodes according to a system state (e.g., col.3: 14—col.4: 15; col.5: 28 – col.6: 38; col.11: 9-32); and

statically embedding the semantically enriched opcode to optimize execution of the interpreter-based runtime system (e.g., FIG. 4, block 408, col.6: 66 – col.7: 19; FIG. 5, col.7: 37-67).

Sokolov does not explicitly disclose *performing a quantitative trade-off between execution time and memory space to determine effective semantically enriched opcodes and encoding the semantically enriched opcodes into interpreter action codes based upon the trade-off.*

However, in an analogous art, Egashira further discloses *performing a quantitative trade-off between execution time and memory space to determine effective semantically enriched opcodes and encoding the semantically enriched opcodes into interpreter action codes based upon the trade-off* (e.g., FIG. 3, col.7:60 – col.8: 53; FIG. 7, col.12: 57 – col.13: 18; col.6: 60 – col.7: 33).

It would have been obvious to a person having ordinary skill in the art at the time the invention was made to combine Egashira's teaching into Sokolov's teaching. One would have been motivated to do so to generate an object module file with a shorter execution time in a range of code size as suggested by Egashira (e.g., col.2: 45-54; col.3: 22-29; col.3: 62 – col.4: 39).

Claim 21 (new):

Sokolov discloses *the method of claim 1, further comprising analyzing an application code using static optimization, the static optimization comprising parsing the application code to identify at least one repeated sequence of bytecodes* (e.g., col.2: 19 – col.3: 47) *and*

replace the at least one repeated sequence of bytecodes with a semantically enriched opcode (e.g., col.5: 28 – col.6: 38).

Claim 22 (new):

Sokolov discloses *the method of claim 1, further comprising analyzing an application code using dynamic optimization, the dynamic optimization comprising: analyzing temporal behavior of the application code during execution* (e.g., col.8: 12-46); *and*

identifying and replacing at least one repeated computational sequence in a bytecode stream with a semantically enriched opcode (e.g., col.9: 23 – col.10: 34).

Claim 23 (new):

Sokolov discloses *the method of claim 1, further comprising: discovering at least one repetitive computational sequence used in a symbolic state (e.g., col.8: 1-11); and generating a semantically enriched opcode corresponding to the at least one repetitive computational sequence used in the symbolic state (e.g., col.11: 9-32).*

Claim 24 (new):

Sokolov discloses *the method of claim 23, wherein the symbolic state comprises at least one of: control flow, data flow, entry points, and operational arguments (e.g., col.5: 55 – col.6: 26).*

Claim 25 (new):

Sokolov discloses *the method of claim 1, further comprising optimizing native code output by the virtual machine using a global optimizing compiler (e.g., col.7: 37-67; col.8: 62 – col.9: 17).*

Claim 26 (new):

Sokolov discloses *the method of claim 1, further comprising optimizing the virtual machine by offline compilation of the virtual machine by a host device (e.g., col.3: 14 – col.4: 15; col.6: 66 – col.7: 19).*

Claim 27 (new):

Sokolov discloses *the method of claim 1, further comprising optimizing the virtual machine by online modification of a generic virtual machine by inserting a stub (e.g., col.2: 64 – col.3: 9; col.7: 37-67),*

the stub automatically loading a semantically enriched opcode when the virtual machine encounters an identified code segment with a bytestream (e.g., col.6: 66 – col.7: 19).

Claim 28 (new):

Sokolov discloses *the method of claim 1, further comprising offline embedding of the semantically enriched opcodes in an application by substituting a semantically enriched opcode for a corresponding code segment (e.g., col.9: 50 - col.10: 11).*

Claim 29 (new):

Sokolov discloses *the method of claim 1, further comprising online embedding of semantically enriched opcodes by a class loader (e.g., col.2: 19 – col.3: 47),*

said class loader substituting a semantically enriched opcode for a corresponding code segment (e.g., col.3: 14 – col.4: 15).

Claim 30 (new):

Sokolov discloses *a system for optimizing performance of an interpreter based runtime system, the runtime system including a virtual machine, the system comprising:*

application code; an embedded processor; a virtual machine configured to translate said application code into native machine code compatible with said embedded processor (e.g., col.2: 19 – col.3: 47; col.3: 14 – col.4: 15);

a detection module, said detection module being configured to analyze said application code to identify code segments that could be efficiently represented as semantically enriched opcodes (e.g., FIG. 2B, col.5: 55 – col.6: 26; FIG. 4, col.6: 66 - col.7: 19);

an embedding module, said embedding module being configured to embed said semantically enriched opcodes in said application (e.g., col.5: 66 – col.6: 9; col.8: 12-46; col.9: 23 – col.10: 34);

a code generation module, said code generation module being configured to generate optimized action code for translating said semantically enriched opcodes according to symbolic states (e.g., FIG. 4, col.6: 66 – col.7: 19; FIG. 6A, "New" and "Dup"; FIG. 9A, block 902 and "Loop 1"; FIG. 9B, blocks 910 and 920; col.11: 9-32, Appendix A); and

a build module configured create an application domain-specific virtual machine by incorporating said optimized action code and a bytecode set comprising said semantically enriched opcodes into said virtual machine (e.g., FIG. 5, col.7: 37-67; FIG. 7B-C, col.8: 62 - col.9: 17).

Sokolov does not explicitly disclose *performing a quantitative trade-off between execution time and memory space to determine effective semantically enriched opcodes and encoding the semantically enriched opcodes into interpreter action codes based upon the trade-off.*

However, in an analogous art, Egashira further discloses *performing a quantitative trade-off between execution time and memory space to determine effective semantically enriched opcodes and encoding the semantically enriched opcodes into interpreter action codes based upon the trade-off* (e.g., FIG. 3, col.7:60 – col.8: 53; FIG. 7, col.12: 57 – col.13: 18; col.6: 60 – col.7: 33).

It would have been obvious to a person having ordinary skill in the art at the time the invention was made to combine Egashira's teaching into Sokolov's teaching. One would have been motivated to do so to generate an object module file with a shorter execution time in a range of code size as suggested by Egashira (e.g., col.2: 45-54; col.3: 22-29; col.3: 62 – col.4: 39).

Claim 31 (new):

Sokolov discloses *the system of claim 30, wherein said detection module is configured to analyze said application code using static optimization, said static optimization comprising parsing said application code to identify at least one repeated sequence of bytecodes* (e.g., col.5: 28 – col.6: 38; col.9: 23 – col.10: 34) *and*

replace said at least one repeated sequence of bytecodes with a semantically enriched opcode (e.g., col.5: 66 – col.6: 9; col.7: 37-67).

Claim 32 (new):

Sokolov discloses *the system of claim 31, wherein said detection module is further configured to analyze said application code using dynamic optimization, said dynamic optimization comprising: analyzing temporal behavior of the application code during execution (e.g., col.2: 64 – col.3: 9); and*

identifying and replacing at least one repeated computational sequence in a bytecode stream with an semantically enriched opcode (e.g., col.3: 14 – col.4: 15; col.5: 66 – col.6: 9).

Claim 33 (new):

Sokolov discloses *the system of claim 30, wherein said generation module is further configured to: discover at least one repetitive computational sequence used in a said symbolic state (e.g., col.8: 12-46); and*

generate a semantically enriched opcode corresponding to the at least one repetitive computational sequence used within said symbolic state (e.g., col.9: 23 – col.10: 34).

Claim 34 (new):

Sokolov discloses *the system of claim 33, wherein said symbolic state comprises at least one of: control flow, data flow, entry points, and operational arguments (e.g., col.5: 66 – col.6: 9; col.8: 1-11).*

Claim 35 (new):

Sokolov discloses *the system of claim 30, further comprising a global optimizer compiler configured to optimizing native code output by said virtual machine (e.g., col.5: 28 - col.6: 38).*

Claim 36 (new):

Sokolov discloses *the system of claim 30, wherein said virtual machine is compiled offline by a host device (e.g., col.8: 12-46).*

Claim 37 (new):

Sokolov discloses *the system of claim 30, wherein said virtual machine is modified online by inserting a stub, said stub automatically loading a semantically enriched opcode when said virtual machine encounters an identified code segment with a bytestream* (e.g., col.7: 37-67).

Claim 38 (new):

Sokolov discloses *the system of claim 30, wherein said application code is modified offline by substituting a semantically enriched opcode for a corresponding code segment contained with said application code* (e.g., col.3: 14 – col.4: 15; col.6: 66 – col.7: 19).

Claim 39 (new):

Sokolov discloses *the system of claim 30, wherein a class loader embeds said semantically enriched opcodes online, said class loader substituting a semantically enriched opcodes for a corresponding code segment* (e.g., col.2: 19 – col.3: 47; col.5: 28 – col.6: 38).

Conclusion

8. Applicants' amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Art Unit: 2192

9. Any inquiry concerning this communication should be directed to examiner Thuy Dao (Twee), whose telephone/fax numbers are (571) 272 8570 and (571) 273 8570, respectively. The examiner can normally be reached on every Tuesday, Thursday, and Friday from 6:00AM to 6:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tuan Q. Dam, can be reached at (571) 272 3695.

The fax phone number for the organization where this application or proceeding is assigned is (571) 273 8300.

Any inquiry of a general nature of relating to the status of this application or proceeding should be directed to the TC 2100 Group receptionist whose telephone number is (571) 272 2100.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Thuy Dao/

Examiner, Art Unit 2192

/Tuan Q. Dam/

Supervisory Patent Examiner, Art Unit 2192